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# HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS (FORM D-201)

HAWAII STATE ETHICS COMMISSION For Office Use Only 1001 Bishop Street, Pacific Tower Suite 970 DATE REC'D: 12/16/2002 FILE NO.:02-D-1/252 P.O. Box 616 Honolulu, Hawaii 96809 Representative Telephone: 587-0460 Fax: 587-0470 email: ethics@ethics.state.hi.us IMPORTANT: Please read instructions carefully before filling out this form. FULL NAME (Last, First, Middle) SPOUSE'S FULL NAME (Last, First, Middle) WATERS, Thomas Ralph DEPENDENT CHILDREN'S FULL NAMES (Last, First, Middle) NA RESIDENCE ADDRESS MAILING ADDRESS SAME As Above **BUSINESS TELEPHONE** STATE DEPARTMENT/DIVISION OR BOARD/COMMISSION 586-9450 House of Representatives -RESIDENCE TELEPHONE STATE POSITION HELD TERM OF OFFICE: Begin: 1-15-03 Representative Dist. 51 End: 11-5-04 FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN. USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer. ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered. F,SP,DC,JT NAME AND ADDRESS OF SOURCE OF INCOME **AMOUNT** SERVICES RENDERED State of Hausin F Office of the Fublic Defends Deputy Public Defends 1130 N. Number Klug Suite 254 Hon. Hi. 96713 Resymul 11/15/02

[ ]Check here if additional sheets are attached

### ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP, DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
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		,		
[√]Che	ck here if entry is None		]Check here if additional sho	eets are attached

#### ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP O	R BENEFICIA	L INTEREST TRAN	SFERRED DURING	THIS DISCLOS	URE PERIOD	DATE OF TRANSFER
				:			
							,
						· .	
[V]Chec	k here if entry is	None			[ ]Check he	ere if additional	sheets are attached

#### **ITEM 4: CREDITORS**

List the name and address of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding (excluding debts arising out of retail transactions or the purchase of consumer goods).

F,SP, DC,JT	NAME OF CREDITOR AND ADDRESS	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
F	City & county suppopers Feleral conditionin	410,000 -	1,800-
F	Saly mare Studit Loan	426,000-	\$ 25,000 -
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			·
[ ]Che	ck here if entry is None	]Check here if additiona	al sheets are attached

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation. F,SP, NAME AND ADDRESS OF BUSINESS TITLE HELD TERM OF OFFICE ANNUAL DC,JT COMPENSATION

[ V]Check here if entry is None [ ]Check here if additional sheets are attached ITEM 6: INTERESTS IN REAL PROPERTY HELD IN THE STATE List interests in real property in the State, held during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER	VALUE
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	·		·
[V]Chee	k here if entry is None	[ ]Check here if additional	sheets are attached

#### ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED

List interests in real property in the State, acquired during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
[V]Che	ck here if entry is None	[ ]Check here if	additional sheets are attached

# ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED

List interests in real property in the State, transferred during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
Check I	nere if entry is None	[ ]Check here if	additional sheets are attached

# ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY	
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## ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
·			
	NAME AND ADDRESS OF BUSINESS	NAME AND ADDRESS OF BUSINESS  NATURE OF BUSINESS	NAME AND ADDRESS OF BUSINESS  NATURE OF BUSINESS  NATURE OF INTEREST

**CERTIFICATION:** I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

SIGNATURE

12-16-02

DATE